

# **Highlights of First Quarter 2007 Accomplishments**

## **Yanni & Associates Investment Advisors, LLC**

1. Regulatory documents and filings completed in mid-February to operate as a Registered Investment Advisory firm. Firm was then considered fully compliant with government standards.
2. Configured computers to have complete daily back-up of data files and emails. Off-site emergency recovery program installed. Able to operate completely without disruption at off-site location.
3. Purchased portfolio accounting software, index data, and various research & charting databases enabling the Firm to appropriately analyze investments and generate monthly or quarterly client reports.
4. Have signed contracts with five different relationships. Currently in serious discussions with others.
5. Assets under management are approximately \$14 million. Most of the relationships are using Schwab Institutional as the custodian for a majority, if not all of their assets. Managing several different types of accounts including trusts, investment agency's, 401(k)s, 403(b)s, 529 Plans, corporate accounts, traditional IRAs, and Roth IRAs.

### **Upcoming plans for the Firm**

1. Anticipated year-end assets under management \$17-\$22 million.
2. Currently investigating increased office space to properly accommodate for growth of the Firm. Currently, the Firm is located in temporary fully furnished office space.
3. Evaluation of additional software will continue on an ongoing basis.
4. Website domain secured; anticipate having website operational within first year of business.

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March 30, 2007